Arizona Department of Transportation Middle Manager Competency Assessment

This self assessment tool is structured to provide you with a variety of ways to use it. The most helpful way will be for you to have your direct employer or those to whom you report complete this assessment first. They will note which activities are required in the position and at what activity level. You can then follow with your own assessment of how well you perceive your are equipped to perform the activity.

Some positions will have more or fewer required activities than others. Few, if any, positions will require all the activities.

Use the comparison between your employer's perceived requirement and your self assessment to determine where you do and do not need to plan for further development.

Example:

This employer identified the following activity as a position requirement. Then he/she designated the appropriate activity assessment level by writing in the letter "P" for Proficient; and activity requiring learned capabilities.

The employee in the position designated the letter "K" for Knowledgeable with reference materials or sources. This employee will need to develop, through practice, the ability to do this activity more efficiently and without references.



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Identifies and assesses internal and external customer requirements

Purpose

The Competency Assessment serves as a self assessment and development tool. It provides an overview of the primary accountabilities and activities for ADOT employees.

Activity Assessment Definitions:

E = Expert

An area of special gifts. The ability, without reference materials or sources, to be proficient both in determining and performing the extent of the skill required to creatively meet the activity requirements.

P = Proficient

An area of learned capabilities. The ability, with reference materials or sources, to be able to distinguish or describe and perform the skill in order to meet the activity requirements

K = Knowledgeable

D = Developmental

Would require additional learning and/or skill development.

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DEVELOPING				
HUMAN RESOURCES	Managing Own Performance	Providing Leadership with Employees	Integrating Across the Organization	Partnering with External Re- sources
Accountabilities	Activities	Activities	Activities	Activities
Mentoring For current and future leadership (requirements	Seeks ways to improve and modify own lead-ership methods to support change in workforce and workplace	Serves as a confidante encouraging employees to explore their own view, values and beliefs. Provides insight into organizational philosophy	Fosters and models "mentoring" as a strat- egy for professional de- velopment.	Shares agency philosophy and learnings with other state agencies, suppliers and customers.
Coaching To ensure employees evaluate and (improve their performance and development needs	Develops skills to employ compassion and sensitivity in addressing employees' development requirements for both strengths and areas for improvement	Provides objective and supportive behavioral, skill and performance feedback.	Helps identify transferable skills that apply across organizational work opportunities	
Monitoring Performance for individual produc-(tivity	Demonstrates a value for performance planning and assessment by taking the process seriously for self.	Enables employees to design and evaluate their own skill and developmental targets. Provides opportunities and resources for employees to develop their skills and knowledge.		

DEVELOPING HUMAN RESOURCES cont. Accountabilities	Managing Own Performance Activities	Providing Leadership with Employees Activities	Integrating Across the Organization Activities	Partnering with External Re- sources Activities
Monitoring Performance for individual produc- tivity cont.		Takes necessary personal actions when employee behavior threatens operations or projects.		
For groups' responsibilities and performance results	Demonstrates shared accountability by delegating both responsibility and authority.	Supports self-direction by giving employees appropriate autonomy based upon expertise and commitment. Embraces continuous learning and demonstrates up-to-date proficiency within the agency operating environment and field operations. Employs appropriate techniques, such as team building, socializing and training to stimulate organization development and technical improvement.	Helps to create lateral and horizontal career paths by sponsoring cross-functional exposure and experiences for agency employees. Embraces continuous learning and demonstrates up-to-date proficiency with the agency operating environment and field operations. Employs appropriate techniques, such as team building, socializing and training to stimulate organization development and technical improvement.	Embraces the ideal of total accountability to agency constituencies. Embraces continuous learning and demonstrates up-to-date proficiency within the agency operating environment and field operations. Employs appropriate techniques, such as team building, socializing and training to stimulate partnering relationships and technical improvements.
Planning For career opportunities to develop and retain the workforce of today and the future	Continues own career planning and seeks new experiences to refrain from "possessing" a role or position indefinitely.	Helps employees identify goals, objectives and alignment action plans.		Locates appropriate outside opportunities for employees to gain broader exposure and experiences.

DEVELOPING HUMAN RESOURCES cont. Accountabilities	Managing Own Performance Activities	Providing Leadership with Employees Activities	Integrating Across the Organization Activities	Partnering with External Re- sources Activities
Planning For career opportunities to develop and retain the workforce of today and the future cont.	Activities	Assists in plotting career paths that are in alignment with employee preferences, skills and/or development plan.	Activities	Activities
CULTIVATING AN OPE AND RESPECTFUL ENVIRONMENT Establishes Mutual respect and trust through open and objective flow of information	Brings own values, visibility and voice to interactions and efforts the ensure consistent representation of self. Models a behavior of openness to conflicting opinions and personal feedback. Monitors own expression of information to ensure it is of fact and relevance. (Straight talk versus back talk.)	Learns and supports the specific ways other feel respected and valued. Encourages employees to freely express themselves without fear of ridicule or reprisal. Gives same information and opportunities to all employees to ensure sense of fairness and equality. Socializes with employee in professional ways to prevent impressions of favoritism.	Learns and supports the specific ways other feel respected and val- ued. Supports others to freely express them- selves even when there exists a difference of opinion.	Learns and supports the specific ways others feel respected and valued. Keeps informed of government, economic, technical and workforce issues as a means of remaining aware and objective about governing issues.

CULTIVATING AN OPEN AND RESPECTFUL ENVIRONMENT cont.	Managing Own Performance	Providing Leadership with Employees	Integrating Across the Organization	Partnering with External Re- sources
Accountabilities	Activities	Activities	Activities	Activities
An environment that encourages innovation, appropriate risk taking and focused entrepreneurial action	Uses the agency vision, mission, goals and strategies as guidance when weighing risks, making decisions,, or solving problems. Takes calculated risks to accomplish important work objectives and support employees.	Helps employees to weigh information about the costs, risks and consequences, both positive and negative, that are associated with a decision. Makes provisions for implementing the chosen course of action, while considering what contingency plans might be required should risks materialize.	Uses the agency vision mission, goals and strategies as guidance when weighing risks, making decisions or solving problems. Makes provisions for implementing the chosen course of action, while considering what contingency plans might be required should risks materialize. Accepts added risk by address and conflict, especially those that cross organizational boundaries.	Uses the agency vision, mission, goals and strategies as guidance when weighing risks, making decisions or solving problems. Makes provisions for implementing the chosen course of action, while considering what contingency plans might be required should risks materialize.
Forms of accessibility to enhance communication	Starts meetings on time; ends on time to show respect for other and to aid efficiency. Identifies and clarifies accessibility to make it easier and more comfortable for others to reach out.	Connects with others eye-to-eye, no matter how busy it gets as a means to draw upon nonverbal communication. Creates mechanisms for interaction that can be brief and informal.	Connects with others eye-to-eye, no matter how busy it gets as a means to draw upon nonverbal communication. Creates mechanisms for interaction that can be brief and informal.	Connects with others eye-to-eye, no matter how busy it gets as a means to draw upon nonverbal communication.

CULTIVATING AN OPEN AND RESPECTFUL ENVIRONMENT cont.	Managing Own Performance	Providing Leadership with Employees	Integrating Across the Organization	Partnering with External Re- sources
Accountabilities	Activities	Activities	Activities	Activities
Expectations for mutual understanding and accountability	Models own expectations of self; follows through on commitments. (Saying what is meant, meaning what is said; doing what is promised.)	Has consistent means of clarifying both work and behavioral expectations as a means to entrust others to assume accountability. When making requests gives genuine and specific recognition in ways that build employees' self confidence	Identifies expectations for shared goals and accountabilities. Serves as a representative of the work group modeling respect for the work of others and follows through on commitment. When making requests gives genuine and specific recognition in ways that support mutual understanding and commitment.	Identifies expectations for shared goals and accountabilities. Serves as a representative of the work group modeling respect for the work of others and follows through on commitment. When making requests, gives genuine and specific recognition in ways that support mutual understanding and commitment.
Respects The diversity of the workforce and the dignity of the individual to maximize contributions toward agency goals.	Appreciates and demonstrates respect for individual differences in perspective and background. Encourages individual contributions and differences in a genuine and appropriate manner Demonstrates enthusiasm and optimism about people to develop a "can do" spirit in the environment.	Establishes an atmosphere of mutual respective by welcoming differences and encouraging the use of difference for the greater whole. Balances own work group staffing rations to ensure an appropriate mix of diversity in all aspects of the workforce population, including, but not limited to: skills, experience, education, gender, age, nationality, beliefs and values, racial representation.	Demonstrates respect for the integrity of al an expresses a value for diversity. Helps with the balancing of agency staffing tensure an appropriate mix of diversity in all aspects of the workforce population, including, but not limited to: skills, experience, education, gender, age, nationality, beliefs and values, racial representation.	Demonstrates respect for the integrity of al and expresses a value for diversity. Balances concern for the individual employee with commitment to the public.

CULTIVATING AN OPEN AND RESPECTFUL ENVIRONMENT cont. Accountabilities	Managing Own Performance Activities	Providing Leadership with Employees Activities	Integrating Across the Organization Activities	Partnering with External Re- sources Activities
Communicates As a leader to ensure an open exchange of ideas	Expresses opinions and directions without intimidating others Respectfully responds to the ideas and questions of others. Uses effective communication skills such as reflective and active listening, expressing understanding and stating needs clearly and directly. Seeks feedback to improve personal performance Anticipates communication needs in developing policies and programs that reflect agency direction.	Shares information freely, fully and candidly with employees and supports their doing so as well. Provides clear and sufficient direction. Makes oral presentations that are factual, clear, persuasive and tailored to the receiver. Produces written communications that are factual, clear, persuasive and tailored to the receiver. Implements and monitors a communication process that employees understand and use.	Shares information	Shares information freely, fully and candidly with all potentially affected and interested parties. Makes oral presentations that are factual, clear, persuasive and tailored to the receiver. Produces written communications that are factual, clear, persuasive and tailored to the receiver.

MANAGING				
WORK PROCESSES	Managing Own Performance	Providing Leadership with Employees	Integrating Across the Organization	Partnering with External Re- sources
Accountabilities	Activities	Activities	Activities	Activities
Defines The work process flow to ensure work in progress meets quality and timeline standards	group. Knows and uses proc-	Establishes methods b which the work processes are continually monitored and improved. Ensures agency processes are known and followed. (Such as personnel and procurement processes.)	Knows and articulates how the work processed of own group integrates with that of other work areas. Seeks performance feedback and promotes continuous improvement of significant business processes to ensure quality and standards are met.	Knows and articulates how the work processes of own group impacts that of other entities (suppliers, customers, other agencies).
Defines Roles, responsibil ties and working relationships to ensure both clarity and flexibility with work assignments	designs work assign- ments accordingly.	Works with employees and support functions to define assignments in alignment with the objectives and strategies that support both the work requirements and the career goals of the employees.	Partners with other work areas to clarify who is responsible for what, ensuring all aspects of the work are accounted for the duplication does not occur.	Partners with suppliers and customers to clarify who is responsible for what, ensuring all aspects of the work are accounted for and duplication does not occur.
Develops Goals, objectives and strategies tha drive planning and lead to implemen- tation	d	Develops in a participative manner, objectives and strategies that are in alignment with agency directed outcomes. Provides guidelines for group planning processes to ensure action plans are implemented to meet work group objectives and strategies.	Develops in a participative manner shared objectives and strategies which are in alignment with agency directed outcomes. Incorporates and aligns long term plans and policies with the agency strategic plan and other agency work areas.	Develops in a participative manner shared objectives and strategies which are in alignment with agency directed outcomes. Considers long term plans and policies with the political environment of state government.

MANAGING WORK PROCESSES cont.	Managing Own Performance	Providing Leadership with Employees	Integrating Across the Organization	Partnering with External Re- sources
Accountabilities	Activities	Activities	Activities	Activities
Develops Goals, objectives and strategies that drive planning and lead to implementation cont.	Monitors own workload to ensure a balance of short and long term planning takes place and is in alignment with the agency strategic plan.	Translates long term plans and policies into operational plans that fit the level, size and complexity of the work group.		
Proactive initiative in discovering problems or new opportunities and moves decisively to implement a solution	Knows and uses the problem solving methods. Acknowledges the existence of problems at an early stage. Assumes responsibility for solving problems. Prioritizes issues and focuses efforts on the critical priorities. Recognizes mistakes, learns from them and moves on to correct and improve the situation.	Encourages a dynamic and responsive mannel of working which will allow for and support beneficial changes in the work group environment. Develops alternatives and contingencies in planning and problem solving to ensure flexibility for unforeseen circumstances. Tailors the strategy or decision to the complexity and significance of the problem or opportunity. Applies problem solving methods to respond to both uncertain and unforeseen circumstances.	Seeks collaborative solutions, while not sacrificing integrity, to generate agreement. Together with colleagues, develops alternatives and contingencies in planning and problem solving to ensure flexibility for unforeseen circumstances. Tailors the strategy or decision to the complexity and significance of the problem or opportunity. Keeps others informed of potential and current problems or opportunities and seeks input for resolution strategy.	Seeks collaborative solutions, while not sacrificing integrity, to generate agreement. Together with suppliers and customers, develops alternatives and contingencies in planning and problem solving to ensure flexibility for unforeseen circumstances. Keeps others informed of potential and current problems or opportunities and seeks input for resolution strategy.

MANAGING WORK PROCESSES cont.	Managing Own Performance	Providing Leadership with Employees	Integrating Across the Organization	Partnering with External Re- sources
Accountabilities	Activities	Activities	Activities	Activities
Takes Proactive initiative in discovering problems or new opportunities and moves decisively to implement a solution cont.		Keeps management informed of potential and current problems and strategy for resolution. Maintains a balance between careful analysis and decisive action to resolve problems or create new initiatives.		
Manages Budget to ensure linkage with agency strategic plan	Knows basic accounting principles and agency budgeting processes.	Monitors expenditures in accordance with approved budget.	Keeps informed of agency resources; negotiates and shares for the betterment of the whole.	Keeps informed of agency resources; negotiates and shares for the betterment of the whole.
ENSURING PUBLIC TRUST AND SATISFIED CUSTOMERS The credibility and integrity of the organization, meeting the expectations of the public and earning its trust	Demonstrates integrity and honesty with uncompromising ethical standards of personal conduct. Knows and follows state and federal statutes, rules and regulations.	Implements organizational structures and systems that emphasize thigh achievement and compliance with applicable laws, policies and regulations. Exercises wise stewardship of public funds in meeting organizational objectives.	Develops integrated strategic plans that identify and address near term obligations without unduly "mortgaging the future".	Performs regular assessments with significant constituencies to obtain "real time" feedback. Develops a common vision and shared goals and strategies based on timely and the accurate information about agency constituencies and the political environment

ENSURING PUBLIC TRUST AND SATISFIED CUSTOMERS cont.	Managing Own Performance	Providing Leadership with Employees	Integrating Across the Organization	Partnering with External Re- sources
Identifies Possible solutions by analyzing problems in terms of processes and integrating diverse perspectives for various customers and constituents	Identifies and acknowledges internal and external customers to assess requirements of all.	Collects and interprets information about the operating environment, with a specific focus on the needs of agency constituencies.	Is perceived accountable by completing projects within schedule, budget and quality requirements. Earns the respect of key stakeholders by maintaining focus on the customer.	Reports regularly to the public regarding efficiency and effectiveness of business processes, as measured by agency performance measures. Is perceived accountable by completing projects within schedule, budget and quality requirements. Earns the respect of key stakeholders by maintaining focus on the
Customers' and suppliers' problems and complaints in a manner that minimizes political issues and enhances confidence and trust	Knows customers' and suppliers' needs and expectation sin order to resolve problems and complaints with understanding.	Identifies and develops sources of information for determining customer service needs. Establishes means to measure customer service results for both concerns and complements. Involves all employees in measuring customer service results to ensure they receive the positive input and resolve customer issues.	Knows other agency group needs and expectation sin order to resolve problems and complaints with understanding. Helps with the design and implementation of cross-functional customer service measurements in accordance with agency strategic plan.	Knows customers' and suppliers' needs and expectation sin order to resolve problems and complaints with understanding.

TEAMING FOR SHARED ACCOUNTABILITIES	Managing Own Performance	Providing Leadership with Employees	Integrating Across the Organization	Partnering with External Re- sources
Accountabilities	Activities	Activities	Activities	Activities
The degree and appropriateness o teaming with the extent of independence and interdependence required in the work	Develops an under- standing of what team- ing activities are re- quired in relation to the work—when to and when not to implement team activities.	Ensures employees are equipped to share work activities in a teaming manner. Identifies which aspects of the work need to be conducted either partly or fully through teaming.	Participates in team activities with colleagues to ensure crossfunctional integration of planning, problem solving and decision making.	Participates in team activities with suppliers and customers to ensure internal/external integration of planning, problem solving and decision making.
Aligns Staffing activities, performance planning and recognition efforts with team requirements	Reviews agency procedures for staffing and performance evaluations and adjusts processes to align with degree of teaming requirements.	Identifies the difference between team and individual performance criteria. Shares credit and gives opportunities for visibility to others. Recognizes and rewards team performance as well as individual contribution.	Celebrates team and organizational accomplishments; giving cred where credit is due.	Participates in forms of supplier/customer part-nering and result sharing celebrations.
A sense of shared accountabilities fo achieving challenging goals and high standards of performance.	Seeks to understand the work flow process within own and between other work groups in order to know where and when the work should be shared	Clarifies team members' roles and responsibilities and how these differ from individual assignments. Aligns, as best as possible, individual preferences to team assignments, using the teaming activities as a means for opportunity growth and development.	Identifies the interrelationships between own work group and other work areas to eliminate duplication and use resources. Incorporates the strategies and objectives of other agency work groups to enhance cross-functional requirements.	Identifies the interrelationships between own work group and suppliers and customers to eliminate duplication and use resources.

TEAMING FOR SHARED ACCOUNTABILITIES cont.	Managing Own Performance	Providing Leadership with Employees	Integrating Across the Organization	Partnering with External Re- sources
Accountabilities	Activities	Activities	Activities	Activities
Promotes Effective group processes to ensure success in teaming efforts	Understands group dynamics and processes in order to implement group planning decision making, problem solving and conflict resolution. Has acquired group	Provides team leader- ship by developing team performance through effective group dynamics, thus gaining cohesive membership and influence.	Promotes the development of partnerships by obtaining and balancing input from others. Contributes effectively as a team member as well as a team leader.	Promotes the development of partnerships by obtaining and balancing input from others. Contributes effectively as a team member as well as a team leader.
	presentation and facili- tation skills and knows when to use which method.	implementing collabora tive processes for group planning, decision mak- ing, and problem solv- ing and conflict resolu- tion.	(Knows one's place, when to serve in which capacity.)	(Knows one's place, when to serve in which capacity.)
Organizes The structure of the work and work ing environment to support group efforts	Recognizes the different ways work needs to be done for employees to work collaboratively. This includes such things as resource tools, facilitation, time, meeting space, and travel.	Obtains the appropriate resources to support teaming projects and activities. Helps team members structure their work schedules to accomplish both their individual and team assignments.	Identifies resources from other agency work groups to support team ing projects and activities.	Identifies resources needed from external partners—suppliers, customers, and other agencies—to support teaming projects and activities.

MOVING WITH TECHNOLOGY	Managing Own Performance	Providing Leadership with Employees	Integrating Across the Organization	Partnering with External Re- sources
Accountabilities	Activities	Activities	Activities	Activities
Manages Technology (information, equipment and facilities) to ensure rapid and flexible response with work processes	Keeps own computer skills current with Information Technology (IT) agency, both hardware and software. Meets regularly with IT resources to anticipate future hardware/software changes. Observes work in progress for all areas of accountability to understand technology requirements.	Obtains and maintains appropriate technology including hardware, software, equipment and facilities to support work group processes. Assesses technology needs to align with projected strategies and objectives. Negotiates and procures technology in accordance with agency purchasing processes.	Links with other agency computer technology to ensure information can be accessed and shared crossfunctionally. Communicates and negotiates ways to use and share technological resources for multiple applications throughout the agency.	Links with external IT sources to ensure information can be accessed and shared between partners, suppliers, customers and other state agencies. Communicates and negotiates ways to use and share technological resources.
Provides Learning experiences to enable all employees in efficient use of technology	Observes and interviews employees at work to discover learning needs.	Ensures a competitive workforce by providing access to on-the-job and formal training opportunities for all technology including IT, equipment and facilities.	Learns from others training opportunities both internally to agency and externally to ensure employees keep their expertise levels state-of-the-art.	Learns from others training opportunities to ensure employees keep their expertise levels state-of-the-art.
Maintains Technology in excellent working condition to meet all safety requirements	Keeps self appraised and has access to information source to ensure required maintenance occurs and documentation is current to meet agency, state and federal specifications.	Keeps employees appraised to ensure required maintenance occurs and documentation is current to meet agency, state and federal specifications.		